

**PROPOSED NATIONAL INSTRUMENT 94-102**  
**DERIVATIVES: CUSTOMER CLEARING AND PROTECTION OF CUSTOMER COLLATERAL**  
**AND POSITIONS**

**FORM 94-102F3 CUSTOMER COLLATERAL REPORT: REGULATED CLEARING AGENCY**

This Form 94-102F3 is to be completed by each regulated clearing agency in order to comply with its reporting obligations to the local securities regulator under section 43 of National Instrument 94-102 *Derivatives: Customer Clearing and Protection of Customer Collateral and Positions* (the “Instrument”).

Reporting Date	DD/MM/YY
Reporting Period <sup>1</sup>	DD/MM/YY – DD/MM/YY

Reporting regulated clearing agency
Name and LEI <sup>2</sup>

Table A is to be completed by each regulated clearing agency that receives customer collateral from a direct intermediary in accordance with the Instrument. Complete a separate line for each direct intermediary that has posted customer collateral with the reporting regulated clearing agency. Where a LEI is not available please provide an Interim LEI or, if not available, the complete legal name of the direct intermediary.

**Table A**

A.	LEI of direct intermediary	Customer collateral			
		Total value of non-cash customer collateral posted to the regulated clearing agency as of the last business day of the Reporting Period	Total value of customer collateral posted to the regulated clearing agency as of the last business day of the Reporting Period	Maximum value of customer collateral posted to the regulated clearing agency during the Reporting Period	Average value of customer collateral posted to the regulated clearing agency over the Reporting Period
1.	[Any direct intermediary that has posted customer collateral with the reporting regulated clearing agency]				
<u>Aggregate total:</u>					

<sup>1</sup> The Reporting Period is the calendar month preceding the Reporting Date.

<sup>2</sup> Where a LEI is not available, please provide an Interim LEI or, if not available, please provide the complete legal name of the reporting regulated clearing agency together with the complete address of its head office.

Table B is to be completed by each regulated clearing agency that holds customer collateral in accordance with the Instrument. Complete a separate line for each location at which customer collateral is held by or for the reporting regulated clearing agency. Where a LEI is not available please provide an Interim LEI or, if not available, the complete legal and operating name(s) of the permitted depository.

**Table B**

B.	LEI of permitted depository or reporting regulated clearing agency	Customer collateral			
		Total value of non-cash customer collateral held by or for the regulated clearing agency as of the last business day of the Reporting Period	Total value of customer collateral held by or for the regulated clearing agency as of the last business day of the Reporting Period	Maximum value of customer collateral held by or for the regulated clearing agency during the Reporting Period	Average value of customer collateral held by or for the regulated clearing agency over the Reporting Period
1.	[Reporting regulated clearing agency, if holding customer collateral itself]				
2.	[Any permitted depository holding customer collateral for the reporting regulated clearing agency]				
<u>Aggregate total</u>					